

FIG - Guest Lecture

Date	02.03.2021
Time:	11:30 to 1 Pm
Venue:	online
Section:	CF1,CF2,BFAS AND IFA
Batch:	2019-20
Semester:	IV
Guest/Speaker Name:	Professor Pratap Giri.S.
Guest/Speaker Designation	Adjunct Professor OF IIM -B
Guest/Speaker Contact No & Email Id:	+91-99801-00925, pratapgiricacs@gmail.com , linkedin.com/in/pratapgiri ,
Guest/Speaker Company/ Institute Name:	IIM - BENGALORE
Faculty Coordinators Name:	Dr.P.PERIASAMY
Topic:	“Role Of Investment Bank And Emerging Trends”
No. of Attendees	179
Feedback:	PROVIDED link: https://docs.google.com/forms/d/e/1FAIpQLSdJz7ZxqRC0jy8BU3WRMfOI4IO-NehmIuGbOpsDEwZgy1HjXw/viewform?vc=0&c=0&w=1&flr=0&gxids=7628
Brochure: (if any)	ATTACHED
Recording	Click here to view your recording (this link can be used only by the host): https://zoom.us/recording/detail?meeting_id=UdnS1JWdS4afF3FNdaTOaA%3D%3D Copy the link below to share this recording with viewers: https://zoom.us/rec/share/A7N9F9SAVxyBLrcz3esGbQhViLGBlnfb3D5EX68W6W6Hb9Lb8tsu-1CJMpzBx6Dq.-O3r6i4dSzd0R_gV Passcode: aQC#7F@6

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1. Introduction:

CF1,CF2,IFA AND BFAS FINAL YEAR STUDENTS, THEY HAVE A SUBJECT OF Investment banking, it would be better to give them some practical exposure about the subject with the help of the author who has written a book on Investment Banking and presently teaching at IIMs in India, with that objective, the GL has been arranged.

2. Venue (Outside/Inside the campus): Online

3. Program Objectives:

- To promote and encourage interaction between the industry professionals and the students
To provide informative and engaging series of lectures and workshops from the industry leaders

4. Activity Overview:

Professor Pratap Giri sir, has delivered lecture on “Role of Investment Bank and emerging trends” on which sir spoke about financial conglomerates, Business models and strategies – global banking, followed by Q & A sessions by faculty and CF1, CF2, BFAS & IFA Students.

5. Guest/Speakers' Profile: PROVIDED

CA & CS Pratap Giri S Qualifications – B.Com., FCA (ICAI), FCS (ICSI), MIOD Co-ordinates –
Mobile : +91-99801-00925, Mail to : pratapgiricacs@gmail.com, pratapgiri@yahoo.com

Professional Experience – 33 years, formerly with the Industrial Development Bank of India at Bombay, independent consulting since 1997.

1. Mr. Pratap Giri is Adjunct Faculty at IIM Bangalore and also visits other IIM and nonIIM campuses.
2. He is also presently associated with the Institute of Chartered Accountants of India as an expert on finance and as a resource person for the National Institute of Securities Markets.
3. He is also on the Expert Panel for the Asian Infrastructure Finance Report prepared by The Economist on behalf of the Asian Infrastructure Investment Bank, Shanghai.
4. He is also an independent corporate advisor and has had extensive hands-on experience in Project and Infrastructure Finance, Private Equity, Capital Markets Financing, Restructuring, M&A, Strategic Finance and mentoring Family Managed Businesses.

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5. He has also been a corporate trainer for several leading banks and financial institutions such as BOI, UBI, PNB, Canara Bank, IL&FS, NABARD, LIC and GIC. 6. He is also a speaker at various seminars and training programmes conducted by IIM Bangalore and ICAI. 7. He has written three books in the corporate finance area for academic and professional use and is also the author for an Amazon Bestseller book in 2021.

Other Passions – □ Sessions Bass Guitarist for music shows in Bangalore. □ Pets, Animal Adoptions and Care, Animal Welfare and Support – Support member of CUPA, World Animal Protection UK and Wildlife Trust of India, Bangalore SPCA.

6. Summary and Key Learnings of the session: PROVIDED

Learning outcomes of the guest lecture –

- Genesis of Investment Banking in the global context and Indian context
- The Financial conglomerates - Commercial Banking, Investment Banking, Asset Management, and Insurance, the four arms of financial industry their interdependency and complementary nature and basis for modelling the finance industry for various countries
- Confluence between economics and finance and the driving factors for money supply and thereby the financial industry and Importance of refinancing and recapitalising in the economy
- Aid of investment banking in moving the capital and contributing to the growth of economy
- Advent of Artificial Intelligence in the industry the magnanimous scope the future beholds for the investment banking
- The functions of boutique banks, full-service banks and the banks which are the combination of two
- The difference between the global trends in Global investment banking and Indian investment banking
- The trends of private equity in India - the emergence Alternate Investment markets are proliferation and the role of investment banking as it's backbone with various examples
- The emergence of trends of Environment, Social and Governance centric investments in Indian financial markets


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7. Participant details:

CF1,CF2,IFA AND BFAS FINAL SEMESTER STUDENTS


8. Attendance records: Online and offline list

IFA Online list of students - Excel

			CZ	DA	DB	DC	DD	DE	DF	DG	GY	GZ	HA	HB	HK
															
MBA Batch 2019-21 - 4th Semester Attendance :															
Section: 19IFA															
			Date	02/03	02/03	02/03	02/03	03/03	03/03	03/03	03/03				
			Subject:	IB	CS	IB									
			Faculty	PP	RPV	PP									
Sl.	USN	Name	1	2	3	4	1	2	3	4					
50	19MBAR0100	T PAVAN	1	1	1	0	0	0	0	0					
51	19MBAR0675	TEJAS B M	1	1	1	0	0	0	0	0					
52	19MBAR0126	THARUNIKA V	1	1	1	0	0	0	0	0					
53	19MBAR0050	VIKAS MANJUNATH	1	1	1	0	0	0	0	0					
No. of Absentees			11	15	9	51	51	51	51	51					

19IFA Online

BFAS Online list of students - Excel

			CN	CO	CP	CQ	CR	CS	CT	CU	GM	GN	GO	GP	GC
															
MBA Batch 2019-21 - 4th Semester Attendance :															
Section: 19BFAS															
			Date	02/03	02/03	02/03	02/03	03/03	03/03	03/03	03/03				
			Subject:	IB		IB									
			Faculty	PP		PP									
Sl.	USN	Name	1	2	3	4	1	2	3	4					
14	19MBAR0057	SRISHTY KAPOOR	1	0	1	0	0	0	0	0					
15	19MBAR0265	SUMEET SHRESTHA	1	0	1	0	0	0	0	0					
16	19MBAR0029	VAGGELA BHARGAV	1	0	1	0	0	0	0	0					
17	19MBAR0030	YELLUKA JAYASHREE	1	0	1	0	0	0	0	0					
No. of Absentees			4	16	4	16	16	16	16	16					

19BFAS Online

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CF1 Online list of students - Excel

MBA Batch 2019-21 - 4th Semester Attendance : Section: 19CF1										
Date	02/03	02/03	02/03	02/03	03/03	03/03	03/03	03/03	03/03	03/03
Subject:	FS	ENT	IB	BF						
Faculty	AC	UMH	PP	PA						
Sl.	USN	Name	1	2	3	4	1	2	3	4
1	19MBAR0301	A POOJASREE	1	1	1	1	0	0	0	0
2	19MBAR0034	AADITYA DUTTA	1	0	1	1	0	0	0	0
3	19MBAR0669	ABHILASHA V HEGDE	0	0	0	0	0	0	0	0
4	19MBAR0439	AISHWARYA P	1	1	1	1	0	0	0	0
5	19MBAR0652	AKSHAYA K	1	1	1	1	0	0	0	0

19CF1 Online

CF2 Online LIST OF STUDENTS - Excel

MBA Batch 2019-21 - 4th Semester Attendance : Section: 19CF2										
Date	02/03	02/03	02/03	02/03	03/03	03/03	03/03	03/03	03/03	03/03
Subject:	FS	ENT	IB	BF						
Faculty	AC	UMH	PP	PA						
Sl.	USN	Name	1	2	3	4	1	2	3	4
1	19MBAR0453	AAKRITI SINGH DEO	1	1	1	1	0	0	0	0
2	19MBAR0132	ABINAYA RAMESH IYER	1	1	1	1	0	0	0	0
3	19MBAR0105	ADARSH RAJUKA	1	1	1	1	0	0	0	0
4	19MBAR0086	AISHWARYA R	0	0	0	0	0	0	0	0
5	19MBAR0059	AKANSHA RAGHAV	1	1	1	1	0	0	0	0

19CF2 Online

9. Participants' Feedback, Feedback Analysis and Attainment Calculation: yes

Click here to view your recording (this link can be used only by the host):

https://zoom.us/recording/detail?meeting_id=UdnS1JWdS4afF3FNDAToaA%3D%3D

Copy the link below to share this recording with viewers:

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https://zoom.us/rec/share/A7N9F9SAVxyBLrcz3esGbQhViLGBInfb3D5EX68W6W6Hb9Lb8tsu-1CJMpzBx6Dq.-O3r6i4dSzd0R_gV Passcode: aQC#7F@6

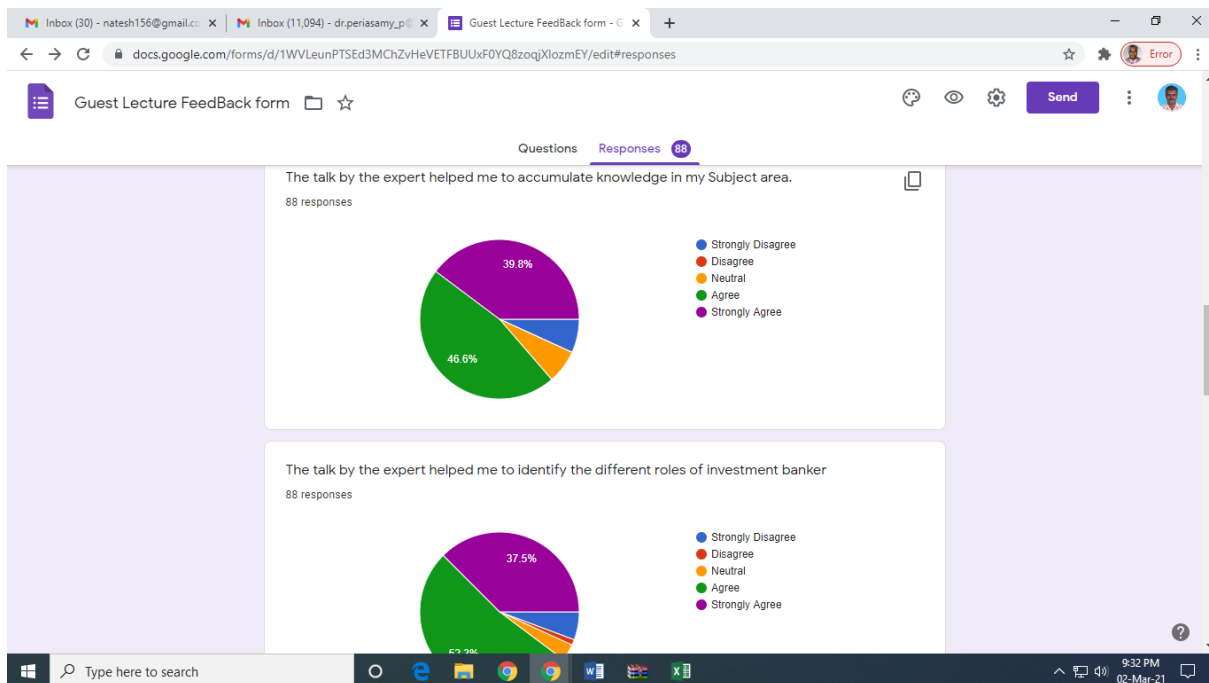
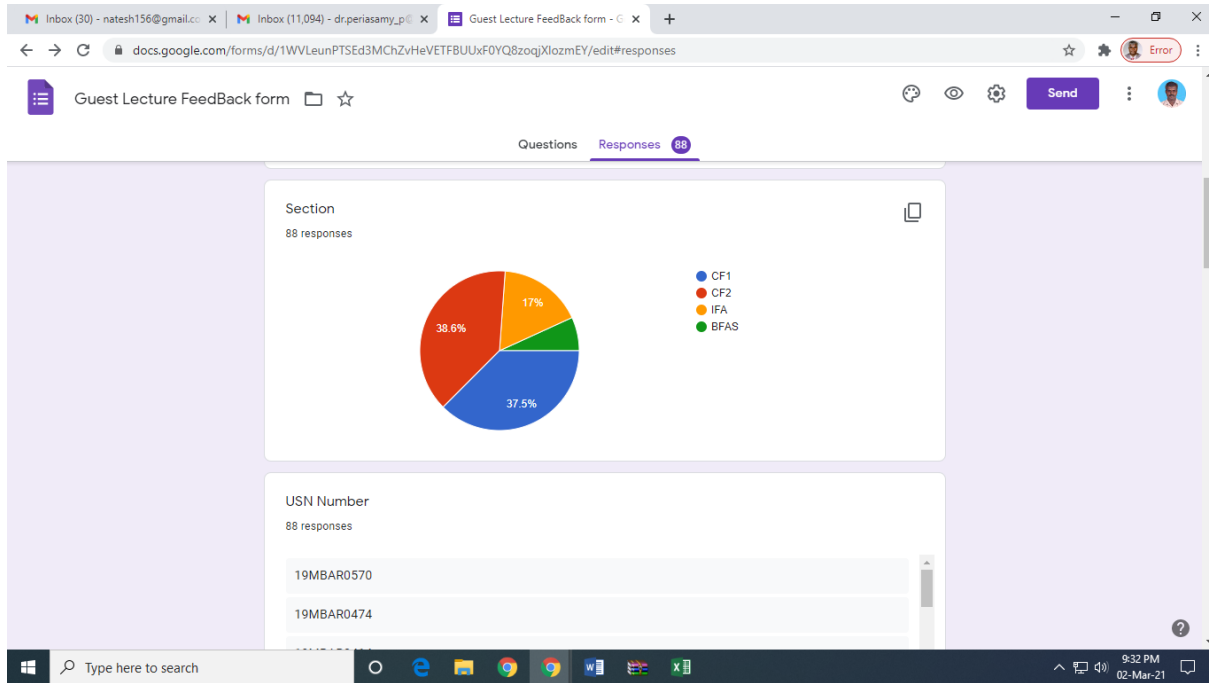


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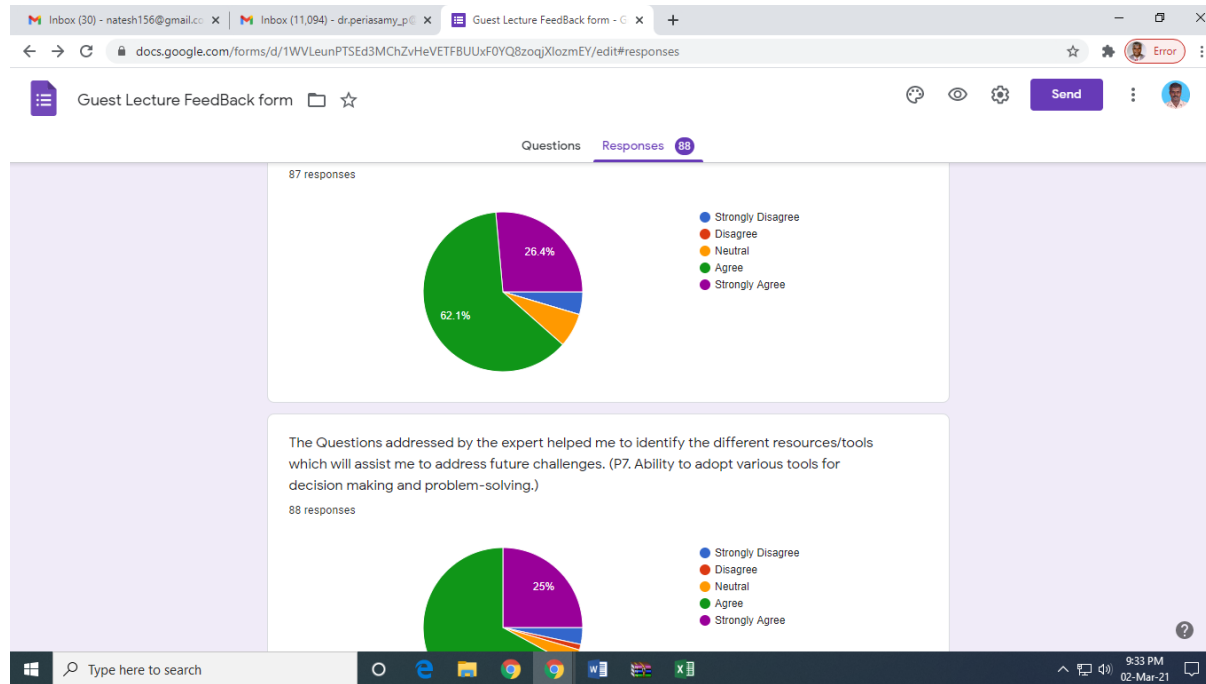
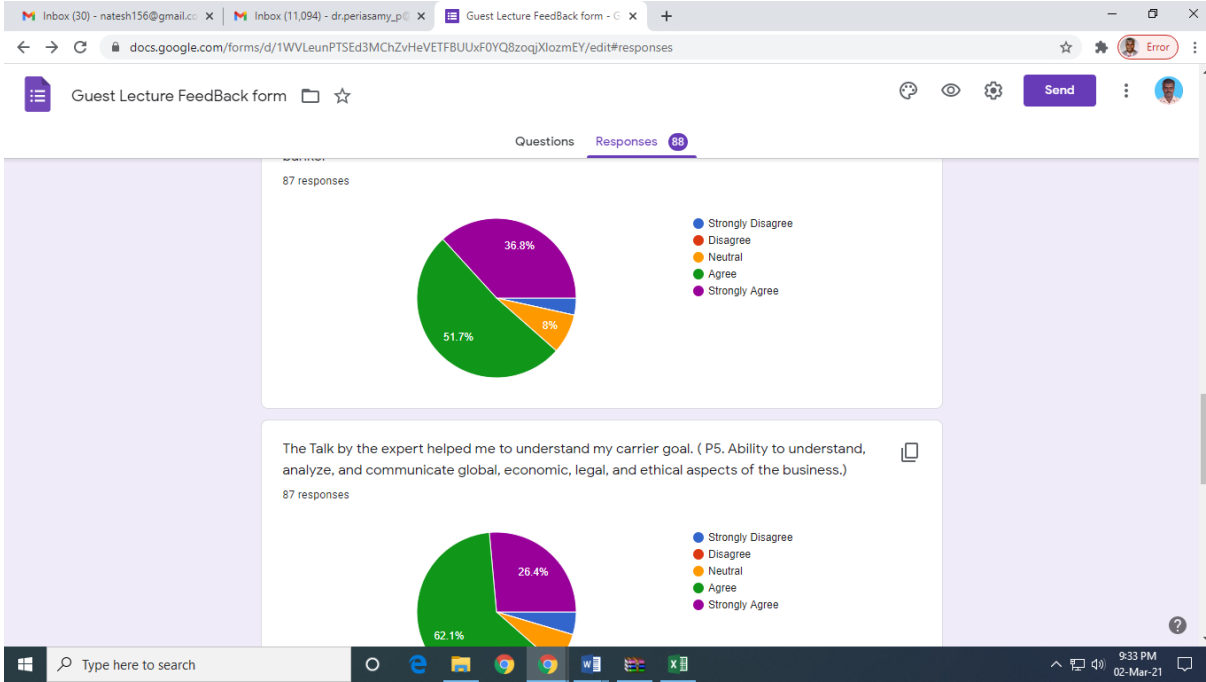


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Questions to Map Pos	List of Pos & PSOs	Wt Score					Total	Wt. Score	Max score	%age
		5	4	3	2	1				
		Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree				
The program/activity was relevant to my area of specialization	PO1 PO2 PO6 PSO3	12	59	50	41	17	179	545	895	61%
The program/activity enabled me to understand application of concept(s)	PO2 PO3 PO7 PSO1	5	64	8	84	18	179	491	895	55%
The program/activity provided an opportunity to apply my skill set	PO6 PO7 PSO1	21	41	35	40	42	179	496	895	55%
The program/activity provided a platform to interact with peers and professionals	PO1 PO3 PSO1	27	38	16	34	64	179	467	895	52%
The learning from the program/activity was useful from my career perspective	PO1 PO5 PSO3	54	29	58	25	13	179	623	895	70%
The program/activity was well planned and executed	PO6 PO7	53	42	6	59	19	179	588	895	66%

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10. Trailing Emails/communications: PROVIDED

our program is on 2nd march 2021 - Reg.,

Inbox



Dr. Periasamy p <dr.periasamy_p@cms.ac.in>

Feb 25, 2021, 2:57 AM (5
days ago)

to Pratap

Respected Sir,

As you have asked me to remind you about our GL program on 2nd march 11: 30 to 1 pm,
This is just a reminder sir.Thank you for accepting our invitation and agree to conduct GL for our
students. Thanking you sir.

With Warm Regards,

Dr.P.Periasamy

Associate Professor (Finance)

Jain Deemed to be University

Ph 9894994989 : 7338849891

natesh156@gmail.com

dr.periasamy_p@cms.ac.in



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Pratap Giri

Mar 1, 2021, 8:52 PM (23
hours ago)

to me

Dear Dr Periasamy,

Good morning. I request you to provide me the login details once again on this mail. I will login about 10 mins before to ensure that the voice and image are being captured properly. Also mention which platform it is. Zoom or something else.

Thanks and Regards

Pratap Giri S.

Adjunct Faculty, Indian Institute of Management | Independent Corporate Adviser

LinkedIn - <https://www.linkedin.com/in/pratapgiri/>

We may be too small to make a big difference. But we are all big enough to make a small difference.

Empathise with the plight of animals | Go Green | Stay Healthy | Preserve the Planet |

"Nothing will benefit human health and increase the chances for survival of life on Earth as much as the evolution to a vegetarian diet." - Albert Einstein

The greatness of a Nation and its moral progress can be judged by the way its animals are treated - Mahatma Gandhi



Dr. Periasamy p <dr.periasamy_p@cms.ac.in>

Mar 1, 2021, 9:35 PM (22
hours ago)

to Pratap

Good morning sir, zoom id is 8855973733 pass word is 12345 sir

With Warm Regards,

FIG - Guest Lecture

Dr.P.Periasamy

Associate Professor (Finance)

Jain Deemed to be University

Ph 9894994989 : 7338849891

natesh156@gmail.com

dr.periasamy_p@cms.ac.in



ReplyForward

- 11. Any other related details: - nil
- 12. Pictures for the Event: ATTACHED

The screenshot shows a Zoom meeting interface. The main window displays a slide titled "BUSINESS MODEL AND STRATEGIES - GLOBAL I-BANKING". The slide content is as follows:

US BASED I-BANKS			
<ul style="list-style-type: none"> UNDERWRITING FOR PUBLIC OFFERS OF EQUITY AND DEBT. MANAGING FOREIGN OFFERS ON WALL STREET. PRIVATE INVESTING AND OTHER KINDS OF ASSET MANAGEMENT 	<ul style="list-style-type: none"> TRADING ON CLIENTS ACCOUNT AND PROPRIETARY TRADING THAT INCLUDES BOND TRADING (M&A), EQUITY, DERIVATIVES, SECURITISED INSTRUMENTS, ARBITRAGE, STRUCTURED PRODUCTS 	<ul style="list-style-type: none"> MERGERS AND ACQUISITIONS ADVISORY FINANCING OF MAJORITY, CROSS BORDER DEALS, LEVERAGED BUYOUTS AND OTHER FORMS OF FINANCING, SUCH AS M&A, TAKEOVER DEFENCES. 	<ul style="list-style-type: none"> FINANCING OF CAPITAL MARKET TRANSACTIONS THROUGH REPO DEALS, LEVERAGING, MONEY MANAGEMENT, BANKING BACK OFFICE OPERATIONS, RESEARCH, TRAINING AND OTHER SUPPORT SERVICES.

The chat window on the right contains the following messages:

- From 19MBAR0059 akanksha rag... to Everyone: Akanksha Raghav CF2- Present sir
- From vaishna 19MBAR0462 to Everyone: 19mbar0462-VAISHNA RAGHAVAN- CF1- PRESENT
- From Dr.P.Periasamy Pandey to Everyone: <https://docs.google.com/forms/d/e/1FAIpQL5dJz7ZxqRC0jy8BU3WRMf0I4IO-NehmluGbOpsDEwZgy1HjXw/viewform?vc=0&c=0&w=1&flr=0&qids=7628>
- From Ashwathi Ashok to Everyone: 19MBAR0142 ASHWATHI CF2 PRESENT
- From Dr.P.Periasamy Pandey to Everyone: google form is on the way to feed and back
- From Dr.P.Periasamy Pandey to Everyone: link is floated for feed back, fill it up guys

The Zoom meeting title bar shows "Zoom Meeting" and "Recording". The system tray at the bottom shows the time as 12:38 PM on 02-03-2021.

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TEMPORARY INVESTMENT BANKING
(CMS BUSINESS SCHOOL JAIN UNIVERSITY)

Participants (110)

- Dr.P.Periasamy P... (Host, me)
- PRATAP GIRI (Co-host)
- 19MBAR0032 Barsha Kandel
- 19MBAR0054 Keerthi priya. K
- 19MBAR0063 Shruthi Maheshkri...

Chat

From PRATAP GIRI to Everyone: I will join in 5 mts

From Me to Everyone: ok sir

From Me to Everyone: ask our class mates to join ma

BUSINESS MODEL AND STRATEGIES - GLOBAL I-BANKING

US BASED I-BANKS

- UNDERWRITING FOR PUBLIC OFFERS OF EQUITY AND DEBT; MANAGING FOREIGN OFFERS ON WALL STREET; PRIVATE EQUITY INVESTING AND OTHER FORMS OF ASSET MANAGEMENT
- TRADING ON CLIENTS' ACCOUNT AND PROPRIETARY TRADING THAT INCLUDES FUND TRADING (FIXED INCOME), EQUITY, DERIVATIVES, SECURITIZED INSTRUMENTS, ARBITRAGE TRADING, STRUCTURED PRODUCTS
- MERGERS AND ACQUISITIONS ADVISORY; FINANCING OF MISACTIVITY; CROSS BORDER DEALS; LEVERAGED BUYOUTS AND OTHER FORMS OF BUYOUTS SUCH AS MBOs, TAKEOVER DEFENSES.
- FINANCING OF CAPITAL MARKET TRANSACTIONS THROUGH REPO DEALS; LEVERAGING; MONEY MANAGEMENT; I-BANKING BACK OFFICE OPERATIONS; RESEARCH TRAINING AND OTHER SUPPORT SERVICES

Participants: Dr.P.Periasamy..., Disha Joshi..., PRATAP GIRI, Heera B Sanjay..., FIRDAUS KHA..., Harsh Kandel, vaishna 19MBA..., Harshitha s, Jagadeeswar T, Brahmiika 19..., 19MBAR0273-..., sanchita sena..., srishty Kapoor, 19MBAR0113..., Mohammed S., JAIN RIA SAN..., BAVITHRA R, Alisha khatri

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Zoom Meeting | You are viewing PRATAP GIRI's screen | View Options

Participants (112)

Dr.P.Periasamy P... (Host, me) | PRATAP GIRI (Co-host) | 19MBAR0054 Keerthi priya.K | 19MBAR0059 akanksha raghav | 19MBAR0063 Shruthi Maheshkri...

Chat

From 19MBAR0652 Akshaya to Everyone: 19MBAR0652 Akshaya.K CF-1 present

From midhvan kannan to Everyone: midhvan kannan 19MBAR0019 - present

From Rohit Sarkar (19MBAR0094) to Everyone: 19MBAR0094-Rohit Sarkar present sir

From 19MBAR0059 akanksha raghav to Everyone: Akanksha Raghav CF2-Present sir

To: Everyone | Type message here...

Recording... | 01:08:53

BUSINESS MODEL AND STRATEGIES - GLOBAL I-BANKING

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    graph TD
      A[US BASED I-BANKS] --> B[UNDERWRITING FOR PUBLIC OFFERS OF EQUITY AND DEBT, MANAGING FOREIGN OFFERS ON WALL STREET, PRIVATE EQUITY INVESTING AND OTHER FORMS OF ASSET MANAGEMENT]
      A --> C[TRADING ON CLIENT'S ACCOUNT AND PROPRIETARY TRADING THAT INCLUDES BOND TRADING (FIXED INCOME), EQUITY, DERIVATIVES, SECURITISED INSTRUMENTS, ARBITRAGE TRADING, STRUCTURED PRODUCTS]
      A --> D[MERGERS AND ACQUISITIONS ADVISORY, FINANCING OF M&A ACTIVITY, CROSS BORDER DEALS, LEVERAGED BUYOUTS AND OTHER FORMS SUCH AS MBOs, TAKEOVER DEFENCES.]
      A --> E[FINANCING OF CAPITAL MARKET TRANSACTIONS THROUGH REPO DEALS, LEVERAGING, MONEY MANAGEMENT, I-BANKING BACK OFFICE OPERATIONS, RESEARCH, TRAINING AND OTHER SUPPORT SERVICES]
  
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Unmute | Stop Video | Security | Participants | Polls | Chat | Share Screen | Reactions | More | End

Zoom Meeting | Zoom Meetings is using the webcam | View Options

INDUSTRY CLASSIFICATION

- Universal Banks
- Pure Investment Banks
- Full Service Investment Banks
- Merchant Banks - (as per SEBI definition)
- Merchant Banks (under US terminology)
- Bulge Bracket Banks
- Mid sized Investment Banks
- Boutique Investment Banks

Participants (115)

Dr.P.Periasam... | Disha Joshi... | PRATAP GIRI | FIRDAUS KHA... | 19MBAR0273-... | sanchita sena... | dilma nachap... | sridhity Kapoor | 19MBAR0113... | Mohammed S... | JAIN RIA SAN... | BAVITHRA R | Alisha khatri | arnu iss anto | Harsh Kandle | malavika p | Jagadeeswar T | sanjana nagpal

Unmute | Stop Video (Alt+V) | Participants | Chat | Share Screen | Record | Reactions | Leave